



Receipt  
\$

**Wolf Block**<sup>SM</sup>

1650 Arch Street, 22nd Floor, Philadelphia, Pennsylvania 19103-2097  
Tel: (215) 977-2000 ■ Fax: (215) 977-2740 ■ [www.WolfBlock.com](http://www.WolfBlock.com)

Kenneth R. DeRosa  
Direct Dial: (215) 977-2420  
Direct Fax: (215) 405-2521  
E-mail: [kderosa@wolfblock.com](mailto:kderosa@wolfblock.com)

**RECEIVED**  
OCT 31 2002  
Technology Center 2100

October 4, 2002

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed herewith please find the following documents with respect to Serial No. 09/684,013, a utility patent application entitled *ADAPTIVELY CONTROLLED RESOURCE AND METHOD FOR CONTROLLING THE BEHAVIOR OF SAME* consisting of the following:

1. Request for Corrected Filing Receipt;
  2. Copy of Filing Receipt;
  3. Supplemental Declaration and Power of Attorney executed by Babak Rezvani;
- and
4. Return receipt postcard.

Very truly yours,

Kenneth R. De Rosa

For WOLF, BLOCK, SCHORR and SOLIS-COHEN LLP

KRD/rc  
Enclosures

<b>FIRST CLASS MAIL CERTIFICATE</b>	
I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.	
 Renee Conti	<u>10/4/02</u> Date



PATENT  
ATTORNEY DOCKET NO. COR185-08

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<p>In re Application of: Babak Rezvani et al.</p> <p>Application No.: 09/684,013</p> <p>Filed: October 6, 2000</p> <p>For: ADAPTIVELY CONTROLLED RESOURCE AND METHOD FOR CONTROLLING THE BEHAVIOR OF SAME</p>	<p><b>RECEIVED</b> OCT 31 2002 Technology Center 2100</p> <p>Art Unit: 2152</p> <p>Examiner: Not Yet Assigned</p>
---	---

Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested:

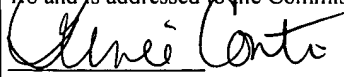
Applicant respectfully requests that Applicant's, Babak Rezvani, address be changed:

**FROM: 355 Croton Dam Road, Ossling, NY 10562**

**TO: 777 6th Avenue, #20J, New York, NY 10001**

**FIRST CLASS MAIL CERTIFICATE**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

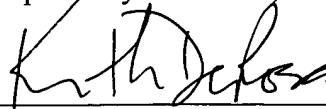
  
Renee Conti

10/4/02  
Date

The above correction is due to applicant's error and the fee therefore, under 37 C.F.R. § 1.19(h), is \$25.00.

Authorization is hereby made to charge the amount of \$25.00 (small entity) to Deposit Account No. 23-2820. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 23-2820. A duplicate copy of this request is enclosed.

Respectfully submitted,



---

Kenneth R. De Rosa, Reg. No. 39,549  
Attorney for Applicant  
Wolf, Block, Schorr and Solis-Cohen LLP  
1650 Arch Street  
Philadelphia, Pa 19103  
(215) 977-2420



PATENT  
ATTORNEY DOCKET NO. COR185-08

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Babak Rezvani et al.	
Application No.: 09/684,013	
Filed: October 6, 2000	Art Unit: 2152
For: ADAPTIVELY CONTROLLED RESOURCE AND METHOD FOR CONTROLLING THE BEHAVIOR OF SAME	Examiner: Not Yet Assigned
	<b>RECEIVED</b> OCT 31 2002

Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested:

Applicant respectfully requests that Applicant's, Babak Rezvani, address be changed:

**FROM: 355 Croton Dam Road, Ossling, NY 10562**

**TO: 777 6th Avenue, #20J, New York, NY 10001**

**FIRST CLASS MAIL CERTIFICATE**

I hereby certify that this paper or fee is being deposited with the United States Postal Service as First Class Mail under 37 CFR 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

*Renee Conti*

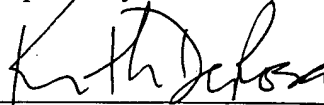
Renee Conti

10/4/02  
Date

The above correction is due to applicant's error and the fee therefore, under 37 C.F.R. § 1.19(h), is \$25.00.

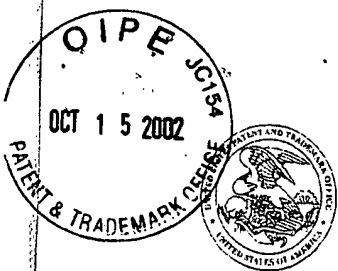
Authorization is hereby made to charge the amount of \$25.00 (small entity) to Deposit Account No. 23-2820. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 23-2820. A duplicate copy of this request is enclosed.

Respectfully submitted,



---

Kenneth R. De Rosa, Reg. No. 39,549  
Attorney for Applicant  
Wolf, Block, Schorr and Solis-Cohen LLP  
1650 Arch Street  
Philadelphia, Pa 19103  
(215) 977-2420



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/684,013	10/06/2000	2152	409	COR185-150117	8	26	3

Kenneth R DeRosa  
1650 Arch Street  
22nd Floor  
Philadelphia, PA 19103

## FILING RECEIPT



\*OC000000005611694\*

Date Mailed: 12/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Babak Rezvani, Ossling, NY ;  
Jack L. Chen, Astoria, NY ;

RECEIVED

OCT 31 2002

Technology Center 2100

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/230,316 09/06/2000

## Foreign Applications

If Required, Foreign Filing License Granted 12/11/2000

\*\* SMALL ENTITY \*\*

## Title

Adaptively controlled resource and method for controlling the behavior of same

## Preliminary Class

709

DOCKETED  
Date *12/15/00*  
WBS&SC

Data entry by : DILLON, LAWANDA

Team : OIPE

Date: 12/11/2000



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

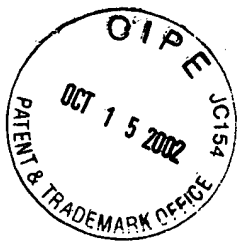
**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:



**PATENT**  
**ATTORNEY DOCKET NO: COR185-08**

**Supplemental Declaration And Power of Attorney**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

TITLE OF INVENTION  
**ADAPTIVELY CONTROLLED RESOURCE AND METHOD  
FOR CONTROLLING THE BEHAVIOR OF SAME**

the specification of which was filed on      October 6, 2000      as Application Serial No. 09/684,013

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed:

APPLICATION NO.	PRIOR FOREIGN/PCT APPLICATION(S) COUNTRY	DATE OF FILING	PRIORITY CLAIMED
			<input type="checkbox"/> YES    NO <input type="checkbox"/>
			<input type="checkbox"/> YES    NO <input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	DATE OF FILING
60/230,316	September 6, 2000

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) or §365(c) of any PCT International application(s) designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT inter-national filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS  
DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. §120

Status (check one)

Application Serial No.	Date of Filing	Patented	Pending	Abandoned
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

And I hereby appoint Robert F. Zielinski, Registration No. 34,286; Richard P. Gilly, Registration No. 37,630; Kenneth R. De Rosa, Registration No. 39,549; Eric A. Dichter, Registration No. 41,708; Stuart D. Rudoler, Registration No. 45,059; and Richard C. Weinblatt, Registration No. 45,500, my attorneys or agents with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Address all correspondence to Kenneth R. De Rosa, Wolf, Block, Schorr & Solis-Cohen LLP, 1650 Arch Street, 22nd Floor; Philadelphia, Pennsylvania 19103-2097. Address all telephone calls to Kenneth R. De Rosa at (215) 977-2420 (telefax: (215) 405-2521).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF SOLE OR FIRST INVENTOR

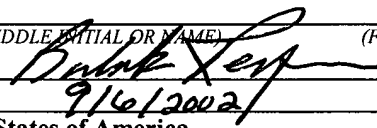
**BABAK**

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

**REZVANI**

(FAMILY OR LAST NAME)

Inventor's signature: 

Date: 9/6/2002

Country of Citizenship: United States of America

Residence:

New York

NY

(City)

(State or Foreign Country)

Post Office Address: 777 6th Avenue, #20J

New York, NY 10001

FULL NAME OF SECOND JOINT INVENTOR, IF ANY

**JACK**

(GIVEN NAME)

**L.**

(MIDDLE INITIAL OR NAME)

**CHEN**

(FAMILY OR LAST NAME)

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Country of Citizenship: United States of America

Residence:

Astoria

NY

(City)

(State or Foreign Country)

Post Office Address: 27-12 Crescent Street

Astoria, NY 11101



# #2 FILE COPY

Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 9817

<b>SERIAL NUMBER</b> 09/684,013	<b>FILING DATE</b> 10/06/2000 <b>RULE</b>	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2142	<b>ATTORNEY DOCKET NO.</b> COR185-150117
------------------------------------	---	---------------------	-------------------------------	---

**APPLICANTS**

Babak Rezvani, New York, NY;  
Jack L. Chen, Astoria, NY;

## RECEIVED

OCT 31 2002

Technology Center 2100

**\*\* CONTINUING DATA \*\*\*\*\***

This appln claims benefit of 60/230,316 09/06/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED\*\* SMALL ENTITY \*\***  
\*\* 12/11/2000

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 8	<b>TOTAL CLAIMS</b> 26	<b>INDEPENDENT CLAIMS</b> 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

**ADDRESS**

Kenneth R DeRosa  
1650 Arch Street  
22nd Floor  
Philadelphia ,PA 19103

**TITLE**

Adaptively controlled resource and method for controlling the behavior of same

<b>FILING FEE RECEIVED</b> 409	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit